



# OECD Trade Facilitation Indicators (TFIs)

**Methodology and tools**

**The case of Kazakhstan**

# What are the TFIs ?

- An **evaluation** tool to measure the impact of **trade facilitation** on trade flows and costs
  - ✓ following the structure of the WTO Trade Facilitation Agreement
- A **monitoring and benchmarking** tool providing
  - ✓ an overview of country performance, strengths, weaknesses and evolution
  - ✓ a basis for prioritising trade facilitation reforms and targeting aid interventions

# How are the TFIs built and updated ?

- 11 indicators, corresponding closely to Section I of the TFA Facilitation Agreement, so as to ensure relevance for negotiators, implementing authorities and donors
- Compiled by the OECD with inputs from public sources, governments and the private sector and fact-checked by (the 166) covered countries
- Biennial data collection based on publicly available sources, factual information from the private sector and government inputs ...
- ... fact-checked by each concerned country via their WTO and WCO Delegations

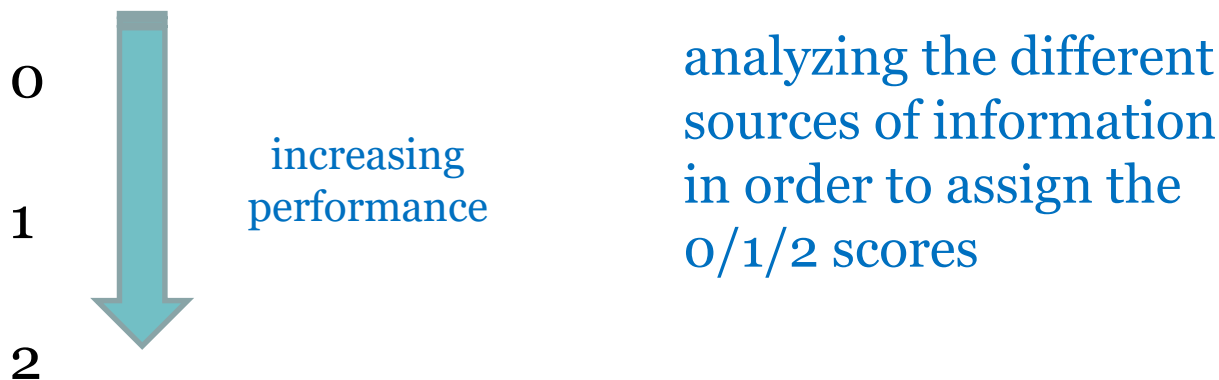
# TFIs structure

## Import/export TFIs

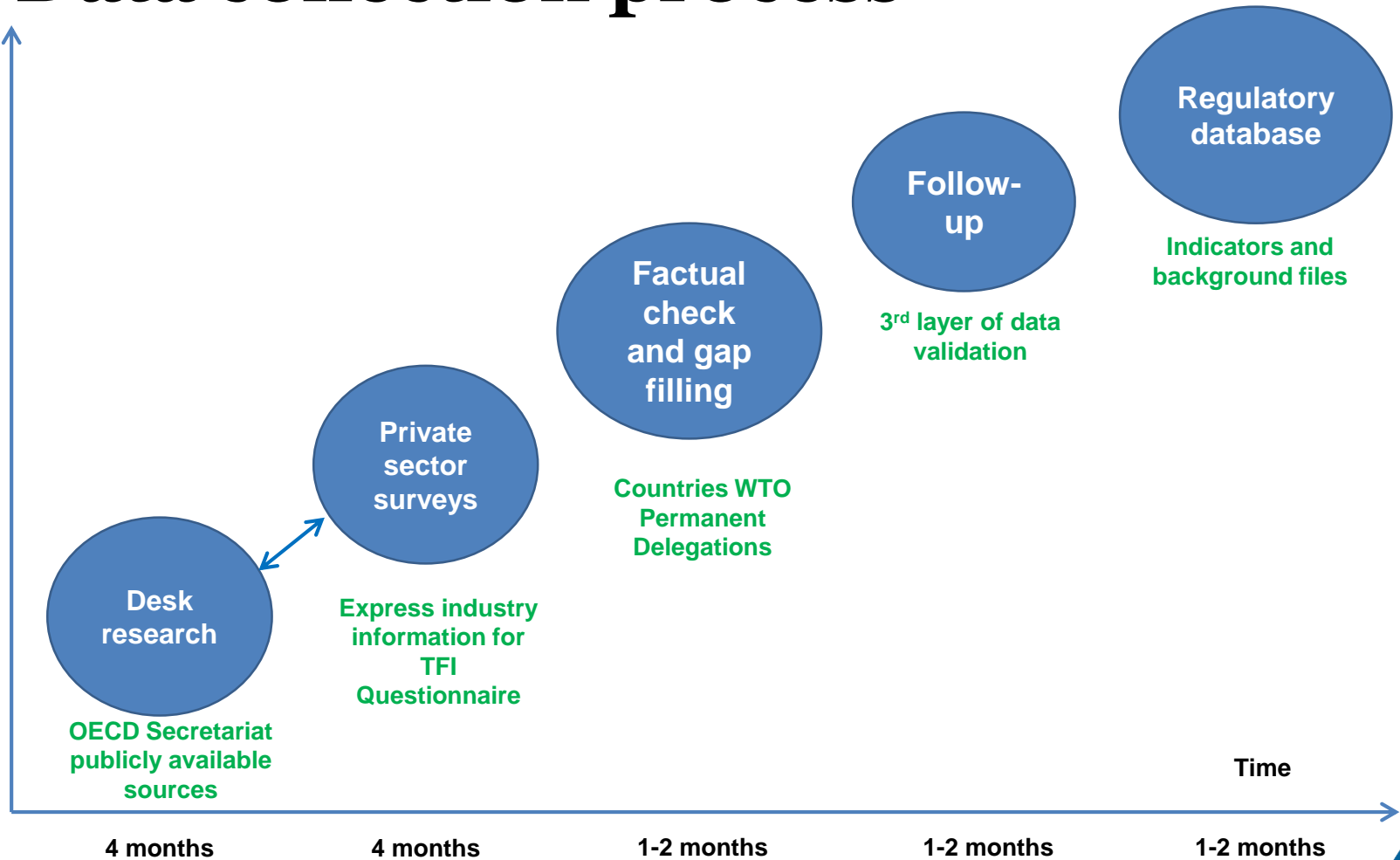
- a. Information availability**
- b. Involvement of the trade community**
- c. Advance rulings**
- d. Appeal procedures**
- e. Fees and charges**
- f. Simplification and Harmonisation of Documents**
- g. Automation**
- h. Streamlining of Procedures**
- i. Domestic Border agency cooperation**
- j. Cross-border agency cooperation**
- k. Governance and impartiality**

- » Variables seek to reflect
- ✓ the **regulatory framework** in concerned countries **and** the **state of implementation** of various TF measures
  - ✓ TF measures introduced and implemented **in absolute terms** but **also** country performance **relative** to others

Each TFI area is composed by a set of variables that are codified with:



# » Data collection process



## Publicly available sources



- Countries Customs websites
- Customs Codes
- Other border agencies websites, where available
- Global Express Association Customs Capabilities Report
- World Trade Organization Trade Policy Reviews: Section on “Trade policies and practices by measures”
- WTO Trade Facilitation Case studies
- World Economic Forum (WEF) Global Competitiveness Report (GCR)
- World Bank Doing Business and Logistics Performance Index background information
- Reports from other international organizations or inter-governmental fora

## Private sector

- Global logistics operators

# Building the dataset: TFI questionnaire

**Question/Variable**

**Q9a. Is information about procedures published in advance of entry into force?\***

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(0) There is no interval between the publication of new or amended trade related laws and regulations, and their entry into force.

(1) There is only an interval for selected new or amended trade related laws and regulations.

(2) There is an interval between the publication of new or amended trade related laws and regulations, and their entry into force.\*\*

*\* Each Member shall ... ensure that new or amended laws and regulations ... are published, or information on them made otherwise publicly available, as early as possible before their entry into force, in order to enable traders and other interested parties to become acquainted with them (Art.2.1.2).*

*\*\* Without prejudice to minor changes or to exceptions for measures that have a relieving effect, measures whose effectiveness would be undermined by the pre-publication, and measures applied in urgent circumstances.*

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**Q9b. If the answer to Q8.a is (2), please specify the average time\*\*\* between publication and entry into force:**

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*\*\*\* The average time is the interval generally applied in the country, whether on the basis of applicable rules, such as an Information Act, or on the basis of practice.*

multiple choice answer



open-ended answer



clarifications proposed in the form of annotation below the concerned question

reference to TFA



# Factual check

## » First line : WTO Delegations


### Involved agencies

all relevant authorities present at the border:

- ✓ Customs authorities
- ✓ sanitary and phytosanitary authorities
- ✓ agency responsible for human health issues
- ✓ agency responsible for animal health issues
- ✓ import licensing authority
- ✓ port and airport authorities
- ✓ others



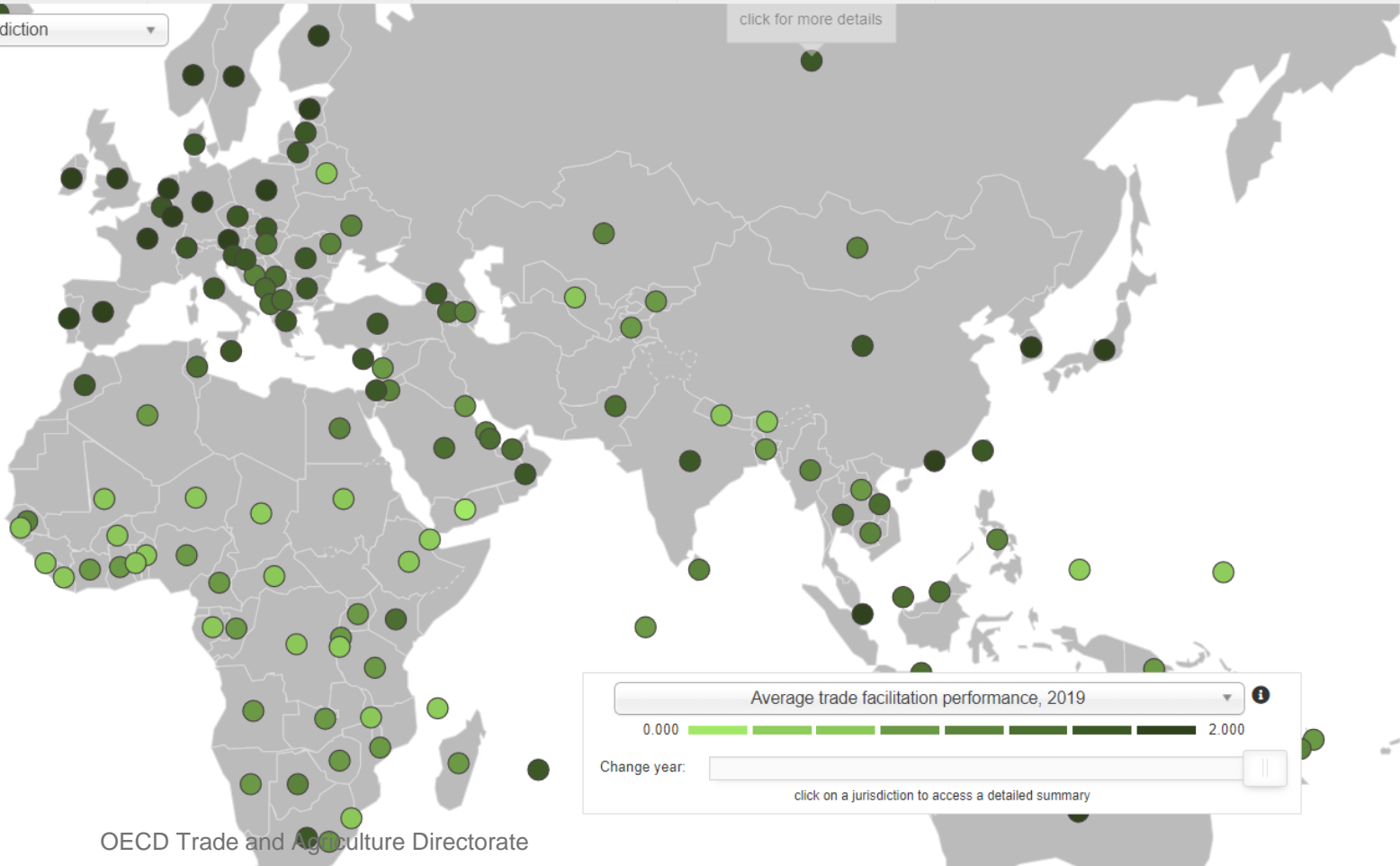
<http://www.compareyourcountry.org/trade-facilitation>

 **Compare your country**  
Trade Facilitation Indicators

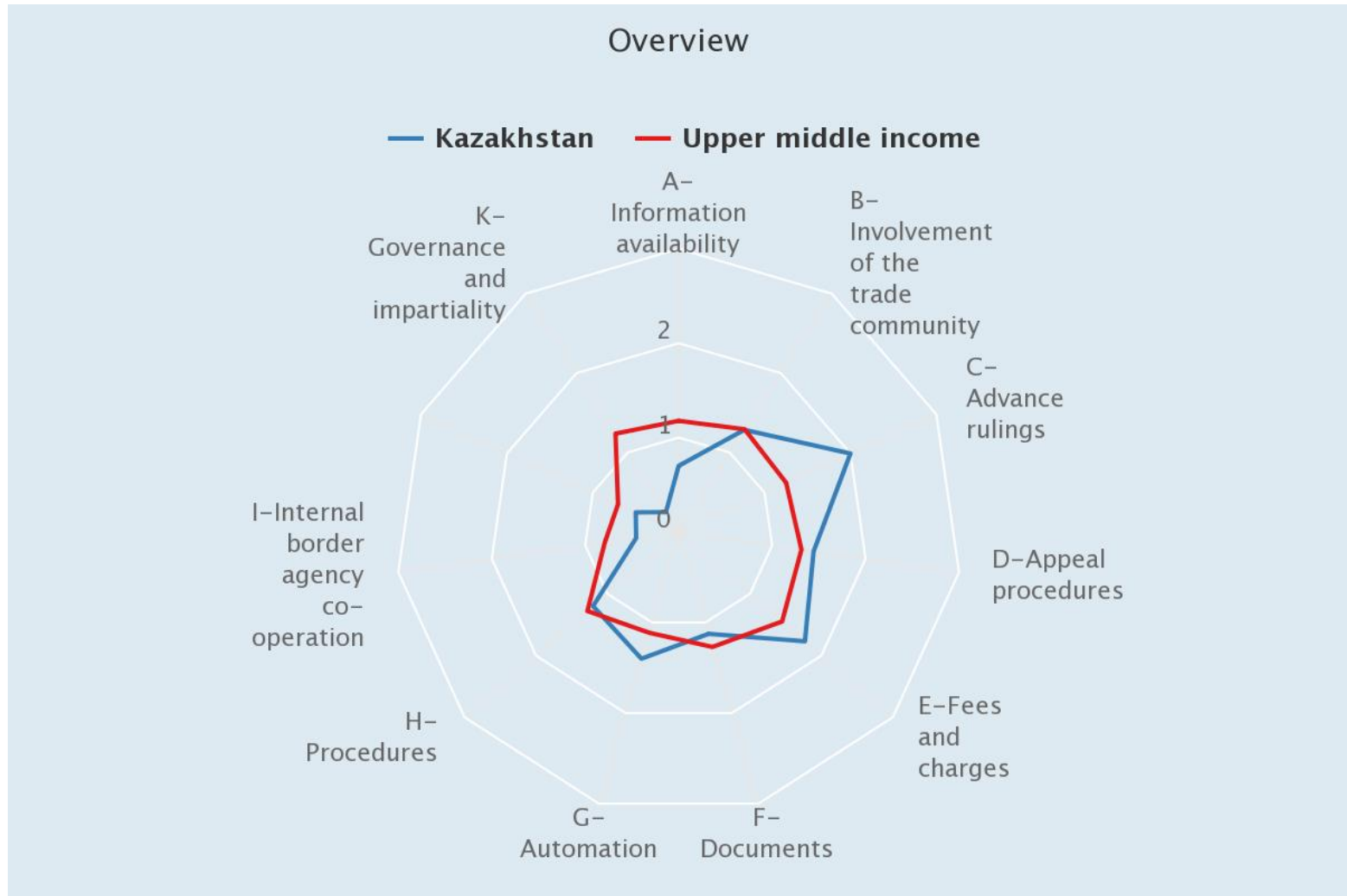
[Overview](#) [Country profiles](#) [Sub-categories Indicators A-E](#) [Sub-categories Indicators F-H](#) [Sub-categories Indicators I-K](#)

select jurisdiction

click for more details



# Kazakhstan's TFI performance 2019 and comparison to UMICs



# Areas to improve












Most impactful reforms for UMICs : Information availability;  
Involvement of trade community; Advance rulings; Fees and charges;  
Formalities

- IA : information on agreements with third countries, on penalties, on judicial decisions; timeliness of enquiry points; online feedback to Customs
- F-documents: number of documents required for import and export and time necessary to prepare them
- F-automation: availability of full-time automated processing for Customs; separation of release from clearance
- F-procedures: complete deployment of Single Window; consistent publication of average clearance times; risk management system for other border controls; transparent criteria and simplified procedures for Authorised Operator programs; Customs working hours adjusted to commercial needs



# Zooming in on border agency cooperation

downloaded.

▶ H Formalities - procedures			30	1.2	1.6
▼ I Internal border agency co-operation			6	0.545	1.273
I.125 General cooperation and co-ordination of the activities of domestic agencies involved in the management of cross border trade, with a view to improving border control efficiency and facilitating trade 	<input type="radio"/> [0,1] <input type="radio"/> 1 <input checked="" type="radio"/> (1,2)	2	0.182	0.182	
I.126 Institutionalised mechanism to support inter-agency coordination 	<input type="radio"/> [0,1] <input type="radio"/> 1 <input checked="" type="radio"/> (1,2)	2	0.182	0.091	
I.127 Domestic inter-agency coordination mechanisms meet regularly to develop strategy and oversee implementation of border agency cooperation 	<input type="radio"/> [0,1] <input checked="" type="radio"/> 1 <input type="radio"/> (1,2)	1	0.091	0.091	
I.128 Domestic coordination / harmonisation of data requirements and documentary controls among agencies involved in the management of cross border trade 	<input checked="" type="radio"/> [0,1] <input type="radio"/> 1 <input type="radio"/> (1,2)	0	0	0.091	
I.129 Interconnected or shared computer systems and real time availability of pertinent data among domestic agencies involved in the management of cross border trade 	<input checked="" type="radio"/> [0,1] <input type="radio"/> 1 <input type="radio"/> (1,2)	0	0	0.091	
I.130 Domestic coordination of inspections among agencies involved in the management of cross border trade 	<input type="radio"/> [0,1] <input checked="" type="radio"/> 1 <input type="radio"/> (1,2)	1	0.091	0.091	
I.131 Shared results of inspections and controls among agencies involved in the management of cross border trade with a view to improving border control efficiency and facilitating trade 	<input checked="" type="radio"/> [0,1] <input type="radio"/> (1,2)	0	0	0.182	
I.132 Control delegation at the national level 	<input checked="" type="radio"/> [0,1] <input type="radio"/> 1 <input type="radio"/> (1,2)	0	0	0.091	
I.133 Coordinated / shared risk management mechanisms 	<input checked="" type="radio"/> [0,1] <input type="radio"/> 1 <input type="radio"/> (1,2)	0	0	0.091	
I.134 Authorised Operators programs 	<input checked="" type="radio"/> [0,1] <input type="radio"/> 1 <input type="radio"/> (1,2)	0	0	0.091	
I.135 Coordinated / shared infrastructure and equipment use 	<input checked="" type="radio"/> [0,1] <input type="radio"/> (1,2)	0	0	0.182	
▶ J External border agency co-operation		5	0.5	1.273	
▶ K Governance and impartiality		2	0.25	1.889	

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# Thank you

## OECD Trade Facilitation Indicators

<http://oe.cd/tfi-tools>

## Compare your country tool

<http://compareyourcountry.org/trade-facilitation>

## Interactive Policy Simulator

<http://oe.cd/tfi-sim>

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